02/13/02



Please type a plus sign (+) inside this box—	PTO/SB/05 (03-01) Approved for use through 10/31/2002 OMB 0651-0032					
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UTILITY	Attorney Docket No. SONYJP 3.0-239					
PATENT APPLICATION	First Inventor Tomoyuki Asano					
TRANSMITTAL	Title INFORMATION PLAYBACK DEVICE,, etc.					
(Only for new nonprovisional applications under 37 CFR 1 53(b))	ELB04517137US					
APPLICATION ELEMENTS	ADDRESS					
See MPEP chapter 600 concerning utility patent application con						
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. CD-ROM or CD-R in duplicate, large table or					
Applicant claims small entity status.	Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission					
See 37 CFR 1.27. 3. X Specification [Total Pages 12	(If applicable, all necessary) 21 a. Computer Readable Form (CRF)					
(preferred arrangement set forth below)	b. Specification Sequence Listing on:					
 Descriptive title of the invention Cross Reference to Related Applications 	i. CD-ROM or CD-R (2 copies); or ii. p.					
 Statement Regarding Fed sponsored R & D Reference to sequence listing, a table. 	c. Statements verifying identity of above copies					
or a computer program listing appendix. - Background of the Invention	ACCOMPANYING APPLICATIONS PARTS					
 Brief Summary of the Invention Brief Description of the Drawings (if filed) 	9. Assignment Papers (cover sheet & document(s))					
Detailed Description Claim(s)						
- Abstract of the Disclosure	(when there is an assignee) Attorney					
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 3	35] 11. English Translation Document (if applicable)					
Oath or Declaration [Total Pages] 12. information Disclosure Copies of IDS Statement (IDS)PTO-1449 Citations					
a Newly executed (original or copy)	13. Preliminary Amendment					
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	14 Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
DELETION OF INVENTOR(S)	15 Certified Copy of Priority Document(s)					
Signed statement attached deleting inventor(s) named in the prior application,	(if foreign priority is clelmed) 16. Nonpublication Request under 35 U S.C. 122 (b)(2)(B)(i).					
see 37 CFR 1 63(d)(2) and 1 33(b)	Applicant must attach form PTO/SB/35 or its equivalent.					
	17. X Other: Unexecuted Declaration					
Application Data Sheet. See 37 CFR 1.76						
 If a CONTINUING APPLICATION, check appropriate box, end Data Sheet under 37 CFR 1,76: 	d supply the requisite information below and in a preliminary amandment, or in an Application					
	n-in-part (CIP) of prior application No.:					
Prior application information: Examiner	Group / Art Unit:					
For CONTINUATION or DIVISIONAL APPS only: The entire d	disclosure of the prior application, from which an eath or declaration is supplied					
under Box 5b, is considered a part of the disclosure of the acco reference. The incorporation <u>can only</u> be relied upon when a po	ompanying continuation or divisional application and is hereby incorporated by ortion has been inadvertently omitted from the submitted application parts.					
19. COR	RRESPONDENCE ADDRESS					
X Customer Number or Bar Code Label	or Correspondence address below					
Name						
Address						
City	ele Zip Code					
Country	lephone Fax					
Name (Print/Type) Robert,B. Cohen	(Registration No. (Attorney/Agent) 32,768					
Signature La Ceuf	Date February 13, 2002					
353197_1.DOC						

PTO/SB/17 (11-01)
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	uired to	to respond to a collection of information unless it displays a valid OMB control number Complete if Known							
FEE TRANSMITTAL									
						Not Yet Assigned			
for FY 2002	Filing Date								
Patent fees are subject to annual revision.				Tomoyuki Asano					
	Examiner Name					Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27	Group Art Unit					N/A			
TOTAL AMOUNT OF PAYMENT (\$) 1,880.00	Attorney Docket No.				D.	SONYJP 3.0-239			
METHOD OF PAYMENT (check all that apply)	FEE CALCUL					ATION (co	intinued)		
Check Credit Money Other None	3. ADDITIONAL FEES								
X Deposit Account	Large Entity Small Entity								
Account 12-1095	Fee	Fee	Fee	Fee	-	Fee Desc	rintion		
Number	Code	(\$)	Code	(\$)			a puon	Fee Paid	
Deposit Account Lerner, David, Littenberg,	105	130	205	65	Surcharge -	– late filing fe	e or oath		
Name Krumholz & Mentlik, LLP The Commissioner is hereby authorized to: (check all that apply)	127	50	227	25	Surcharge - sheet.	- lete provisio	onal filing fee or cover		
XX Charge fee(s) indicated below XX Credit any overpayments	139	130	139	130	Non-English	h specification	n		
X Charge any additional fee(s) during the pendency of this application	147	2,520	147	2,520	For filing a r	request for ex	parte reexamination	-	
Charge fee(s) indicated below, except for the filing fee	112	920*	112	920*		publication of			
to the above-identified deposit account.	113	1,840*	113	1,840*		publication of	of SIR after	Н	
FEE CALCULATION	115	110	215	55		or reply within	n first month		
1. BASIC FILING FEE	116	400	216	200	Extension fi	or reply within	second month		
Large Entity Small Entity	117	920	217	460	Extension for	or reply within	third month		
Fee Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extension fi	or reply within	fourth month		
101 740 201 370 Utulty filing fee 740.00	128	1,960	228	980	Extension fi	or reply within	fifth month		
106 330 206 165 Design filing fee	119	320	219	160	Notice of Ap	ppeal			
107 510 207 255 Plant filing fee	120	320	220	160	Filing a bne	f in support o	of en appeal		
108 740 208 370 Reissue filing fee	121	280	221	140	Request for	oral heanng			
114 160 214 80 Provisional filing fee	138	1,510	138	1,510			lic use proceeding		
SUBTOTAL (1) (S) 740.00	140	110	240	55 640		evive – unavi			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	142	1,280	242	640		evive - uninte fee (or reissi		\vdash	
Extra Fee from Fee Pold	143	460	243	230	Design issu		20)	\vdash	
Total Claims 46 -20** 26 x 18.00 = 458.00	144	620	244	310	Plant issue				
Independent 11 3** - 9 × 94.00 - 670.00	122	130	122	130		the Commiss	inner		
Claims 11 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	123	50	123			fee under 37		\vdash	
· · · · · · · · · · · · · · · · · · ·	126	180	126	180	-		n Disclosure Stmt	$\vdash \vdash \vdash$	
Fee Fee Fee Fee Fee Decedation	581	40	581	40	Recording e	each patent a	ssignment per	$\vdash \vdash \vdash$	
Code (\$) Code (\$) Fee Description 103 18 203 9 Claims in excess of 20	146	740	246	370	property (tin Filing a sub	nes number o mission after		$\vdash\vdash\vdash$	
102 84 202 42 Independent claims in excess of 3					(37 CFR 1.1	129(a)) iditional inver	stion to be	$\vdash \vdash \vdash$	
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	570	examined (3	37CFR 1.129	(b))	\square	
109 84 209 42 ** Reissue independent claims over original patent		179 740 279 370 Request for Continued Examination (RCE)					\vdash		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 Other	of a design epplication						$\vdash \vdash$	
SUBTOTAL (2) (8) 1,140,00		Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 0.00							
**or number previously paid, if greater; For Reissues, see above	NeoL	cou by t	waru F	g ree	1 010	30810	IAL (3) (8)	0.00	
SUBMITTED BY						Complete (i	Y applicable)		
Name (Print/Type) Robert B. Cohen	Regist	ration No by/Age/it	32	2,768		Telephone	(908) 518-6316		
Signature 3	7	L.	_			Date	February 13, 20	002	
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